



Additional information on Customer Due Diligence

Account(s) N° :

Name of the legal entity :

ACTIVITIES

Does your entity have a direct presence ^(A) and/or engagements ^(B) involving Iran, Sudan, Syria, North Korea and/or Cuba (UHRCs) ⁽¹⁾? *

YES

NO

Please tick the applicable answer

^(A) "Direct presence" means operating from or being resident in a "UHRC" (Ultra High Risk Country), or having its statutory seat or registered office in a UHRC. This includes a UHRC government, public authority or its agents (incl. embassies) wherever located or domiciled.

If your entity has a postal address in a UHRC, residence in that country will be assumed unless you can prove otherwise.

^(B) "Direct engagement" includes, but is not limited to:

- export/import/transfer/transport/shipping goods or services to or from these UHRC;
- make payments to and/or receive payments from these UHRC or from a UHRC counterparty via accounts held with ING;
- your entity is owned or controlled, directly or indirectly, by a UHRC counterparty:
 - a UHRC Government, public authority or its agents (including embassies) wherever located or domiciled, or by
 - a person or entity operating from or resident in a UHRC, having its statutory seat or registered office in a UHRC, or by
 - a person or entity acting on behalf of the government of a UHRC.
- acting on behalf of the government of a UHRC.

Does your entity have any indirect presence ^(C) and/or actual knowledge of indirect engagements ^(D) involving Iran, Sudan, Syria, North Korea and/or Cuba (UHRCs) ⁽¹⁾? *

YES

NO

Please tick the applicable answer

^(C) "Indirect presence" means that your entity has a subsidiary, branch or sales office established, domiciled or incorporated in a UHRC.

^(D) "Indirect engagement" is deemed to exist if:

- your entity procures products or services, indirectly, that originate from an UHRC;
- products or services of your entity are exported to an UHRC (by a counterparty of your entity or by a third party). Such export is deemed to take place if these products or services somehow become available in an UHRC;
- make payments to and/or receive payments from these Ultra High Risk Countries or from a UHRC counterparty via an account held with another bank than ING.

Does your entity provide products or services to a counterparty who is structurally involved ^(E) in these products or services ending up (e.g. reselling) in Iran, Sudan, Syria, North Korea and/or Cuba (UHRCs) ⁽¹⁾? *

YES

NO

Please tick the applicable answer

^(E) This means: any transactions that are executed to, from or via UHRCs or facilitation thereof.

⁽¹⁾ If you answer positively to one of the UHRC questions, please contact your Relationship manager for additional information

ACTING ON BEHALF OF

Is your entity acting on its own behalf? *

YES

NO

Please tick the applicable answer

If the answer is NO and the entity is acting on behalf of a third party, the identity of the third party must be established and verified (in this case, please contact directly your Relationship Manager for further instructions).

* Mandatory answer

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Additional information on Customer Due Diligence

MAIN PRINCIPALS

Who is your Chief Executive Officer (CEO), or Chairman of the board or equivalent ? *(please join an ID card's certified copy)*

Name : **Surname :** **Date of Birth :**

Nationality : **Country of residence :**

Who is your Chief Financial Officer (CFO), or Treasurer or equivalent ? *(please join an ID card's certified copy)*

Name : **Surname :** **Date of Birth :**

Nationality : **Country of residence :**

Please note that the **Main Principal** is considered to exercise significant control and authority over the business activities of the company.

Date and signatures of the authorized representatives