

# The Independent Depository Bank

[ Wholesale Banking ]

## Luxembourg

Luxembourg is the largest financial centre for investment funds in Europe and the second largest worldwide. Luxembourg provides and will continue to provide fund promoters with a well-established, flexible and regulated environment adapted to any type of investment strategy.

## ING Group, an international player

ING Group as a Global Financial Institution serves more than 67 million private, corporate and institutional clients in more than 40 countries in Europe, North America, Latin America, Asia & Australia.

## ING Luxembourg, a universal bank

ING Luxembourg is active in **Retail Banking, Private Banking, Commercial & Institutional Banking and Financial Markets.**

It benefits from the strength and depth of a local bank with a human size (800 employees). It focuses on the selection of the best qualified specialist teams and demonstrates on a continuous basis a capacity to adapt to the specific needs of clients.



ING  
Luxembourg

- › **Luxembourg Investments Funds**
- › Insurance companies
- › Smaller-sized banks
- › Asset management companies
- › Retail and private banking clients
- › Corporate clients and public administrations

## ING Luxembourg, the service provider for fund structures

ING Luxembourg has a long experience in cash and securities services and provides tailor made solutions to clients with regards to:

- › Safekeeping, settlement and orders processing
- › Paying agent services
- › Tax services
- › Cash management, money market products, FX & OTC derivatives
- › Customised reporting
- › Dedicated relationship management and technical support
- › Cash bank for funds
- › AIFMD compliant
- › ISAE 3402 certified

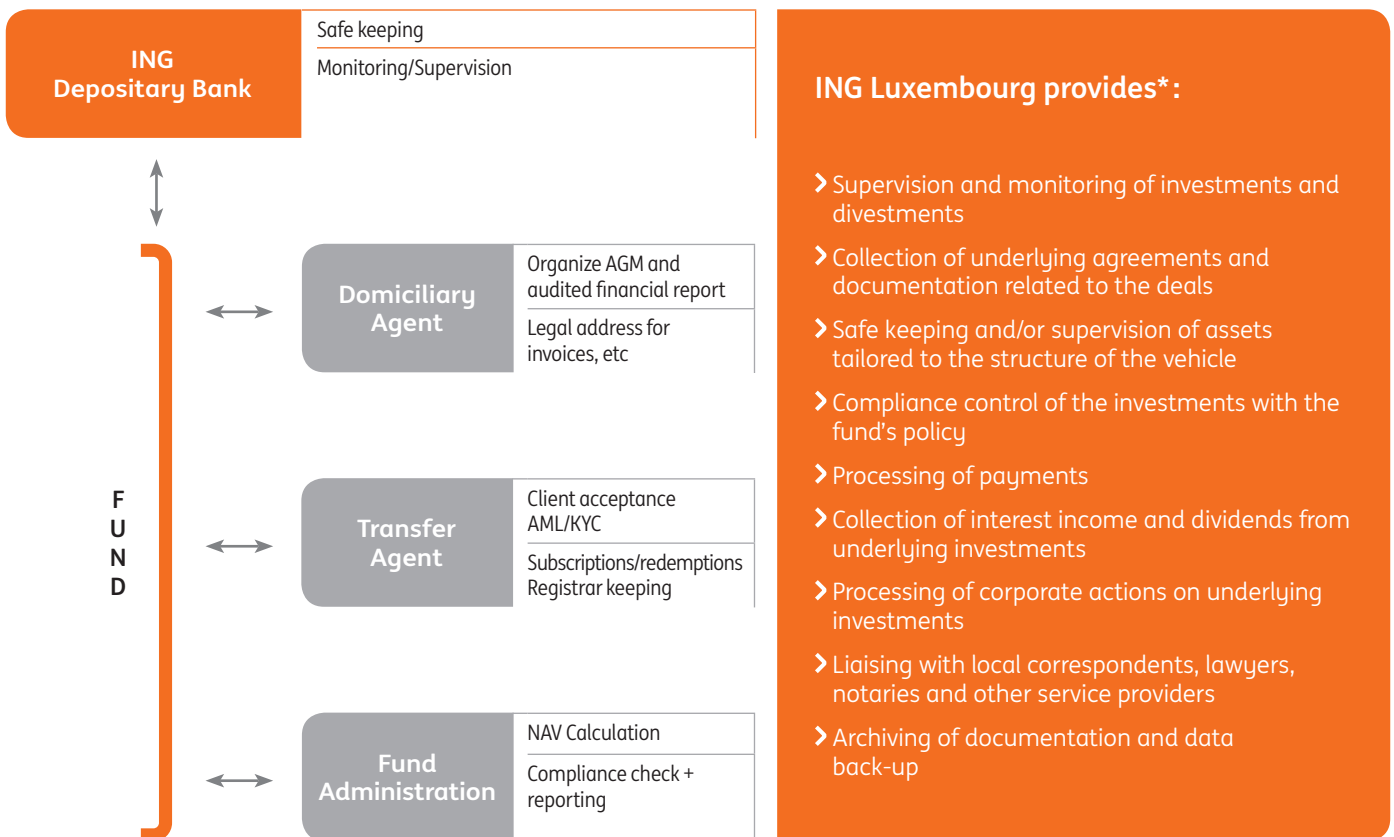
## ING Luxembourg, the **Independent** Depository Bank

ING Luxembourg, the Independent Depository Bank is recognized as a reliable partner for all fund structures such as UCITS V, SIFs, SICARs, AIFM full-scope vehicles (including RAIF) as well as managed accounts.

Having an optimized open-architecture ING Luxembourg closely works with a network of central administrations, transfer agents and brokers.

Offering tailor made solutions, ING Luxembourg is a one-stop-shop banker that provides as well:

- Bridge Financing/Capital call financing facilities
- Corporate services to GPs, AIFMs, Mancos
- Cash account for underlying holding companies



\* Non exhaustive list, subject to change to comply with AIFMD

## Relationship and specialised support teams dedicated to your business

ING Luxembourg provides all Luxembourg fund structures with **Payments & Cash Management solutions** either domestic or cross border such as:

- › international cash pooling
- › centralisation of international accounts in one electronic banking system
- › attractive sub-account products to increase the return on excess cash

To meet Luxembourg regulatory requirements and to deliver the best service to its clients, ING Luxembourg offers:

- › **consolidated and customised reporting**
- › **dedicated support at each stage of the process**

RELATIONSHIP & CLIENT ADVISORY TEAM	SUPPORT TEAMS	
Financial Institutions	Technical Sales Support	Depository Bank Operations (DBO)
<p>This team is your first point of contact at the bank. It will help you set up your fund structure and provide continuous operational support on a daily basis.</p> <ul style="list-style-type: none"> <li>▪ Account opening</li> <li>▪ Access system and reporting management</li> <li>▪ Set up of credit lines</li> <li>▪ General operational matters</li> </ul>	<p>This team provides assistance and expertise on securities, Money Market, FX &amp; OTC derivatives matters for new clients.</p> <p>Services include:</p> <ul style="list-style-type: none"> <li>▪ Analysis of new projects</li> <li>▪ Implementation of operational models</li> <li>▪ Set up and maintenance of the relationship with brokers, prime brokers, central administrations and sub-custodians</li> </ul>	<p>The DBO team is in charge of handling securities, Money Market and FX &amp; OTC derivative transactions as well as alternative investments structured products.</p> <p>Services include:</p> <ul style="list-style-type: none"> <li>▪ Portfolio administration and investment control</li> <li>▪ Transaction analysis &amp; booking</li> <li>▪ Payments monitoring</li> <li>▪ Portfolio valuation</li> <li>▪ Supervise/monitor the OTC transactions and related cash movements</li> <li>▪ Operational support to clients</li> <li>▪ Reporting</li> <li>▪ Oversight of the service providers</li> </ul>

## ING Luxembourg is experienced in Alternative Investment Funds

AIFMD  
COMPLIANT

Having acquired a broad range of expertise and demonstrating high flexibility, ING Luxembourg has for the last 5 years clearly established itself in the **alternative investment world** becoming a key player **specialised in private equity and real estate funds**.

On a permanent basis, ING Luxembourg invests:

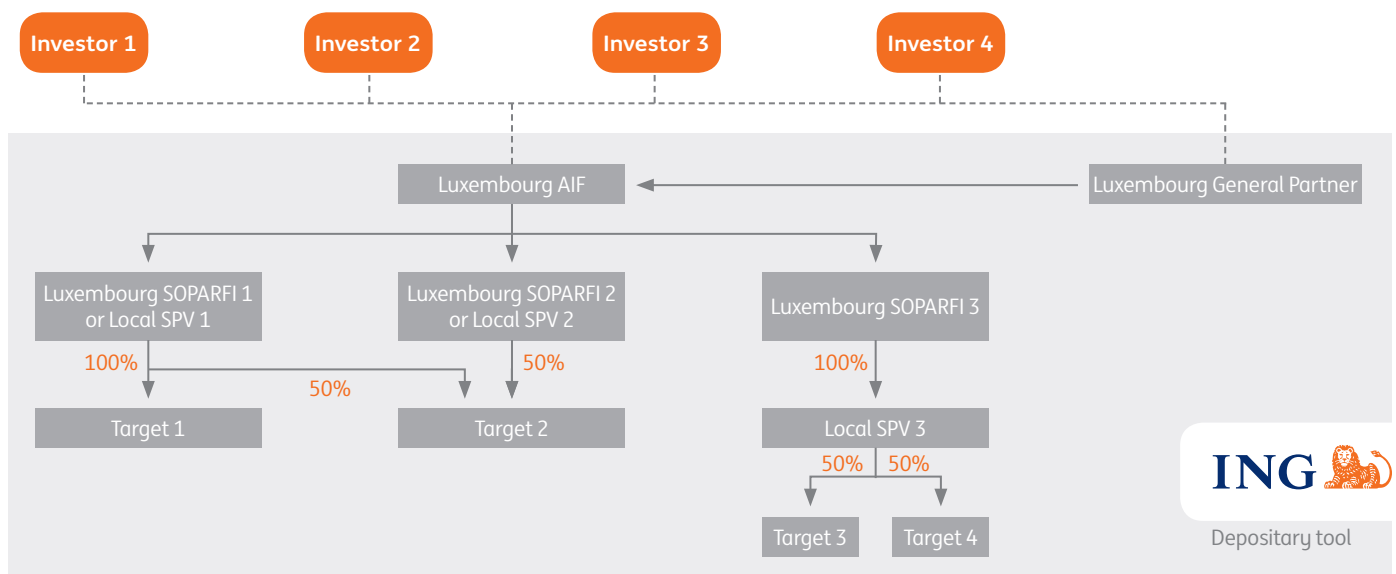
- in the design of tools that meet and exceed customer expectations
- to comply with current and future regulations: FATCA, AIFMD and UCITS rules

### A UNIQUE OFFERING FROM ING LUXEMBOURG: ALWAYS KEEP A FULL VIEW OF YOUR INVESTMENT STRUCTURES

ING Luxembourg closely **monitors** and **“mirrors”** assets that **cannot be safe** kept in a dedicated depository system. This allows to always provide a comprehensive view of the investment structures.

On the basis of this state-of-the-art dedicated IT tool, ING Luxembourg helps you:

- identify each alternative underlying asset of a vehicle
- closely monitor each transaction and determine the link between the vehicle and the underlying investments



\* **Mirroring of assets that cannot be safe kept:** booking of assets in dedicated and specific mirroring accounts in ING Luxembourg depository tool

## Relationship Management

**Nicolas Bernhardt**

+ 352 44 99 5164  
nicolas.bernhardt@ing.lu  
fi@ing.lu

**Vincent Ginet**

+352 44 99 4153  
vincent.ginet@ing.lu  
fi@ing.lu

**Athanassios Mirlis**

+352 44 99 9714  
athanassios.mirlis@ing.lu  
fi@ing.lu

**Julien Pochet**

+352 44 99 4536  
julien.pochet@ing.lu  
fi@ing.lu

## Account Management

**Philippe Halter**

+352 44 99 3360  
fi@ing.lu

**Laurent Kehrer**

+352 44 99 2830  
fi@ing.lu

**David Oukkal**

+352 44 99 3341  
fi@ing.lu

**Laurence Seban**

+352 44 99 5782  
fi@ing.lu

## Management

**Damien Degros**

Head of Wholesale Banking  
+ 352 44 99 4800  
damien.degros@ing.lu

**Christianne de Roy**

Head of Financial Institutions  
+ 352 44 99 4620  
christianne.deroy@ing.lu

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